



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

TRANSMITTAL

In re Application of: Jesus W. Casas-Bejar et al.
 For: Medical Electrical Leads and Indwelling Catheters with Enhanced Biocompatibility and Biostability
 Serial No.: 09/063,227
 Filed: April 20, 1998

CERTIFICATE OF MAILING UNDER 37 CFR 1.8: I hereby certify that this TRANSMITTAL and the paper(s), as described herein, are being deposited in the U.S. Postal Service, as first class mail, addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231 on this 10 of June, 1997.

Debra A. Rasmussen

Signature

Debra A. Rasmussen
 Printed Name

Commissioner of Patents and Trademarks
 Washington, D.C. 20231

Sir:

We are transmitting herewith the attached:

- ☒ Transmittal
- ☒ Notice to File Missing Parts of Application/Filing Date Granted form
- ☒ Combined Declaration/Power of Attorney
- ☒ Assignment of the invention to Medtronic, Inc.
- ☒ Assignment Recordation Form (in duplicate)
- ☐ Information Disclosure Statement
- ☐ PTO Form 1449
- ☐ Cited References
- ☒ Return Postcard

- n/a Applicant hereby petitions for a 0 month(s) extension of time. If an additional extension of time is required, please consider this a petition therefor.
- ☒ Please Charge Deposit Account No. 13-2546 the sum of \$130 surcharge and \$40 for recordation of the assignment, for a total of **\$170.00**
- ☒ Please charge any additional fees or credits to Deposit Account No. 13-2546 which may have been overlooked on this Amendment Transmittal with regard to this filing. A duplicate of this transmittal is enclosed.
- ☒ Applicant believes that a 0 month extension of term is required. However, if an extension of time is required, please consider this a petition therefor to provide for the possibility that applicant has inadvertently overlooked the need for an extension of time.

Dated

6-10-98

Thomas F. Woods
 Thomas F. Woods
 Reg. No. 36,726
 MEDTRONIC, INC.
 7000 Central Avenue N.E.
 Minneapolis, MN 55432
 Telephone: (612) 514-3652-

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JUN 15 1998

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UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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09/063-227

04/20/98

CASAS

P-7109

0232/0515

THOMAS F WOODS
MEDTRONIC INC
2000 CENTRAL AVENUE NE
MINNEAPOLIS MN 55432

NOT ASSIGNED

DATE MAILED:

3734

05/15/98

NOTICE TO FILE MISSING PARTS OF APPLICATION
Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☒ small entity (statement filed) ☐ non-small entity is \$ 130.00

☒ 1. The statutory basic filing fee is:

- ☒ missing
- ☐ insufficient

Applicant must submit such status (37 CFR 1.27)

to complete the basic filing fee and/or file a small entity statement claiming

☒ 2. Additional claim fees of \$ _____, including any multiple dependent claim fees, are required:

- \$ _____ for independent claims over 3.
- \$ _____ for dependent claims over 20.
- \$ _____ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☒ is missing or unexecuted.
- ☐ does not cover the newly submitted items.
- ☐ does not identify the application to which it applies.
- ☐ does not include the city and state or foreign country of applicant's residence.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☒ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☒ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☒ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☒ 7. A \$50.00 processing fee is required since your check was returned without payment.

☒ 8. The application does not comply with the Sequence Rules.

See attached Notice to Comply with Sequence Rules 37 CFR 1.821-1.825.

☐ 9. OTHER

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the reply.

Customer Service Center

Patent Examination Division (703) 308-1202

PART 2: COPY TO BE RETURNED WITH RESPONSE